

Risk Register - Education, Skills, and Children's Services Directorate

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Risk Code	Risk Event	Primary Risk Category	Secondary Risk Categories	Risk Type	Responsible Person	Active	Causes	Risk Effects	Initial Risk Rating	Proximity	Existing Controls	RiskTreatment	Revised Risk Rating	Risk Action Title
ES&CSDir01	Management failure to implement planned savings	Budget / Financial		Operational Risk	Iain G Smith - Service Manager – Resources	Active	Managers lack time and focus to deliver efficiencies to meet required savings targets	Budget overspend impacts on service delivery	High	Current	Education Vacancy Panel in place. RTCR procedure in place. Monthly budget monitoring by Resources Section	Reduce the threat	Medium	Quarterly monitoring meetings to monitor achievement of savings scheduled into every Senior Managers Diary.
ES&CSDir02	High level of staff absence	Workforce		Operational Risk	Neil MacLeod - Service Manager – Performance & Staffing	Active	Workload pressures Staff sickness	Service delivery not at optimum level	High	Current	Sickness absence monitoring and report. Return to work interviews. Sickness absence reviews.	Retain the threat	High	
ES&CSDir03	Incidents or Traffic accidents on home to school transport or ASN Transport	Reputational		Operational Risk	Iain G Smith - Service Manager – Resources	Active	Insufficient monitoring of / or performance of contractors including monitoring and PVG checks. Unsafe vehicles and drivers providing ASN transport.	Serious injury Litigation	Low	Current	Home to School Transport contracts contain stringent performance and safety rules monitored by TS Client function on behalf of Education and Children's Services Department. Staff driving vehicles with pupils on board required to have appropriate training. GPS trackers in new ASN vehicle fleet to monitor vehicle position and driver performance and safety. Wearing of seatbelts is now compulsory on school transport vehicles and ASN vehicles.	Retain the threat	Low	
ES&CSDir04	Digital infrastructure in schools not sustainable.	Technogical		Operational Risk	Iain G Smith - Service Manager – Resources	Active	Lack of funding to support digital infrastructure in schools (CNES capital allocation for IT is £250k for 5 years). Old switches (12 years old) and Wifi access points failing and digital devices becoming obsolete. Scottish Government failure to provide promised funding for digital devices for schools.	Lack of effective digital teaching and learning devices available for teachers or school pupils.	High	Current	Schools IT firefighting to maximise use of available budget. Installation of SSD drives and additional RAM being undertaken for school devices.	Reduce the threat	Medium	Replace Switches, Wifi access points and digital devise in primary schools
ES&CSDir06	Staff required to carry heavy and awkward items up and down stairs	Workforce		Operational Risk	Neil MacLeod - Service Manager – Performance & Staffing	Active	Lift not working	Serious injury to staff	Medium	Current	Staff are advised to follow manual handling recommendations Keep on Health & Safety agenda	Retain the threat	Medium	